

Docket No. 217865US0PCT/hc



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Haruji SAWADA, et al.

SERIAL NO: 10/031,569

GAU: 1761

FILED: January 22, 2002

EXAMINER:

FOR: CHOLESTEROL-LOWERING AGENTS, SECONDARY BILE ACID PRODUCTION INHIBITORS AND FOODS AND DRINKS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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SHEET 1 OF 1

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
217865US0PCTSERIAL NO.
10/031,569

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Haruji SAWADA, et al.FILING DATE
January 22, 2002GROUP
1761

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|----------|--------------------------|-------|--------------|-------------------------------|
| | AA | 4,313,934 | 02/02/82 | K. KITAMURA, et al. | | | |
| | AB | 4,739,046 | 04/19/88 | N. R. DI LUZIO | | | |
| | AC | 4,530,846 | 07/23/85 | T. NAGODAWITHANA, et al. | | | |
| | AD | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
|--|----|--------------------|----------|---------|-------------|----|
| | | | | | YES | NO |
| | AO | WO 92/13896 | 08/20/92 | WIPO | | |
| | AP | WO 96/28476 | 09/19/96 | WIPO | | |
| | AQ | | | | | |
| | AR | | | | | |
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| | AT | | | | | |
| | AU | | | | | |
| | AV | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|--|----|---|
| | AW | Patent Abstracts of Japan, JP 62-016424, January 24, 1987 |
| | AX | Patent Abstracts of Japan, JP 62-129223, June 11, 1987 |
| | AY | Patent Abstracts of Japan, JP 09-077674, March 25, 1997 |
| | AZ | |

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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